

Applicant or Patentee: Ladlow et al.
Serial or Patent No.: 09/509,147
Filed or Issued: September 17, 1998
For: PARALLEL REACTION STATION WITH MAGNETIC STIRRING

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 C.F.R. §§ 1.9(f) & 1.27(c) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Radleys Discovery Technologies Limited
Address of Concern: Shire Hill, Saffron Walden, Essex, CB11 3AZ, G.B.

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either directly or indirectly one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: Parallel Reaction Station with Magnetic Stirring by inventor(s) described in:

- ☐ The specification filed herewith.
☒ Application Serial No. 09/509,147 , filed September 17, 1998
☐ Patent No. _____, issued _____.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d), or a nonprofit organization under 37 C.F.R. 1.9(e).

* NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

Name:
Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Mark David Radley

Title In Organization: Director

Address of Person Signing: Shire Hill, Saffron Walden, Essex, CB11 3AZ.

Signature

Date

20/3/00

SMENTITY.SBC

529 Rec'd PCT/PTO 25 MAY 2000
#3IN THE UNITED STATES PATENT & TRADEMARK OFFICE
DO/EO/US RECEIVING OFFICE

In re the application of: Ladlow et al.
Serial No.: 09/509,147
Filed: September 17, 1998
For: PARALLEL REACTION STATION
WITH MAGNETIC STIRRING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

May 22, 2000

Date

Krisse Simoni

Name

Signature

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Dear Sirs:

Transmitted herewith for completing the filing of the above-captioned patent application is:

1. Return copy of Form PCT/DO/EO/905 (Notification of Missing Requirements);
2. Verified Statement (Declaration) Claiming Small Entity Status;
3. Power of Attorney and Certificate Under 37 CFR 3.73(b);
4. Designation of Domestic Representative;
5. Inventors' Declaration (2 documents totaling 4 sheets);
6. Request for Fee Refund in duplicate;
7. Assignment documents; and
8. Return postcard acknowledging receipt of the above items.

The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 06-1450 (duplicate of correspondence enclosed).

Respectfully submitted,

Date: May 22, 2000

Michael D. Rechten
Registration No. 30,128

FOLEY & LARDNER
330 North Wabash Avenue, Suite 3300
Chicago, IL 60611
(312) 755-2578

PATENT
Attorney Docket No. 58937/123IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Ladlow et al.
Serial No. : 09/509,147
Int'l Filing Date : September 17, 1998
Title : PARALLEL REACTION STATION
WITH MAGNETIC STIRRING

Assistant Commissioner of Patents
Washington, D.C. 20231

REQUEST FOR FEE REFUND

Dear Sirs:

Applicant requests a credit to Deposit Account No. 06-1450 in the amount of \$485. This is the difference between the large entity filing fee and small entity filing fee in which we had paid the large entity fee without knowledge that the client qualifies for a small entity filing fee. Enclosed is a Declaration Claiming Small Entity Status for filing. Please note that we had paid the amount of \$970 via company check No. 1247 when we filed the application on March 17, 2000. The balance of \$485 should be credited to our deposit account.

Respectfully submitted,

Dated: May 22, 2000

Michael D. Rechtin
Michael D. Rechtin
Attorney for Applicant
Reg. No. 30,128

Foley & Lardner
330 North Wabash Avenue
Suite 3300
Chicago, Illinois 60611-3608
(312) 755-1900

*NO Refund due
Small Entity. Should be in by
5/17/00*

011.307394.1

<u>Certificate of Mailing Pursuant to 37 CFR 1.8</u>	
I hereby certify that this correspondence and attached documents are being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C.	
20231 on:	<u>May 22, 2000</u>
	Date
	Krisse Simoni
	Printed Name
	<u>Krisse Simoni</u>
	Signature